

The Role of Arizona Tribes in Transportation Decision Making

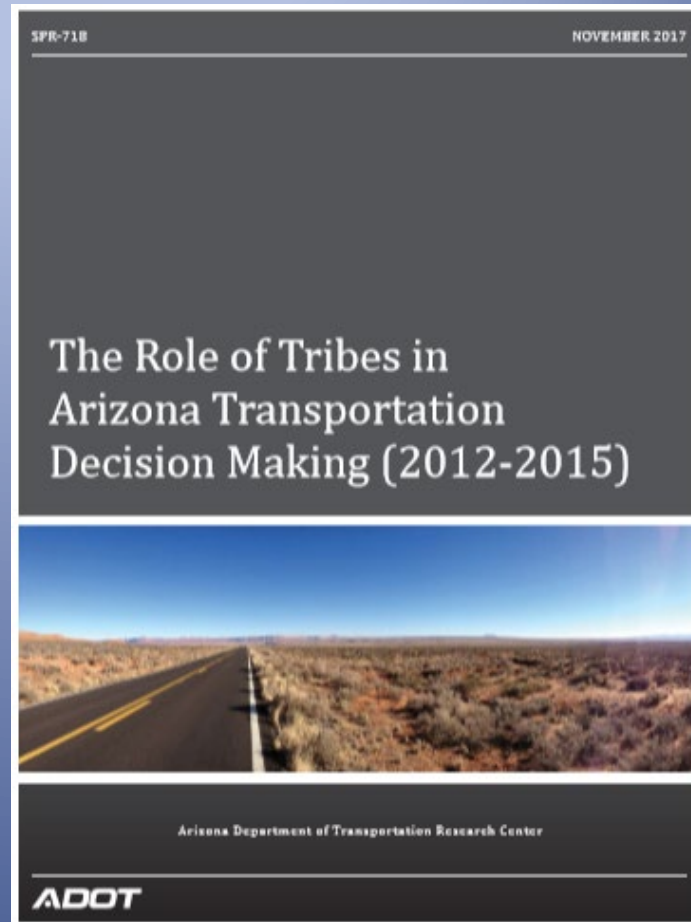
NTTICC Big Sky, MT

Wednesday, September 18, 2019

Workshop 3: Planning / 8:30 AM – 10:00 AM

Topics

- Research as a resource
- Background of research, SPR 718
- Methodology and report
- Opportunities



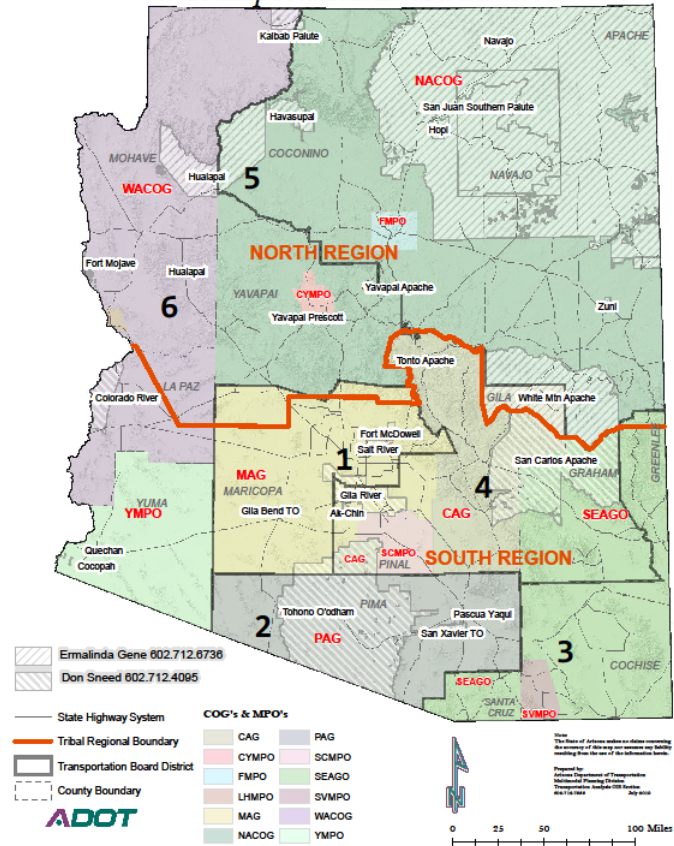
Research Opportunities

- Funding is provided for SPR by a 2% set-aside from each State's apportionments of four programs: the National Highway Performance Program (NHPP); the Surface Transportation Program (STP); the Highway Safety Improvement Program (HSIP); and the Congestion Mitigation Air Quality Improvement Program (CMAQ) Program.
- Of the funds that are set aside, a minimum of 25% must be used for research purposes, unless the State certifies that more than 75% of the funds are needed for statewide and metropolitan planning and the Secretary accepts such certification.
- SPR Funds, Part B, Research
 - 25% of State Planning funds = Approx. \$1.8 million for AZ research projects

Background

- 22 Tribes in AZ
- TTP Currently single major source of funding
- Tribes making own decisions
- ADOT commissioned this study

*ADOT Tribal Liaison Regions with COG's/MPO's
& Transportation Board Districts*

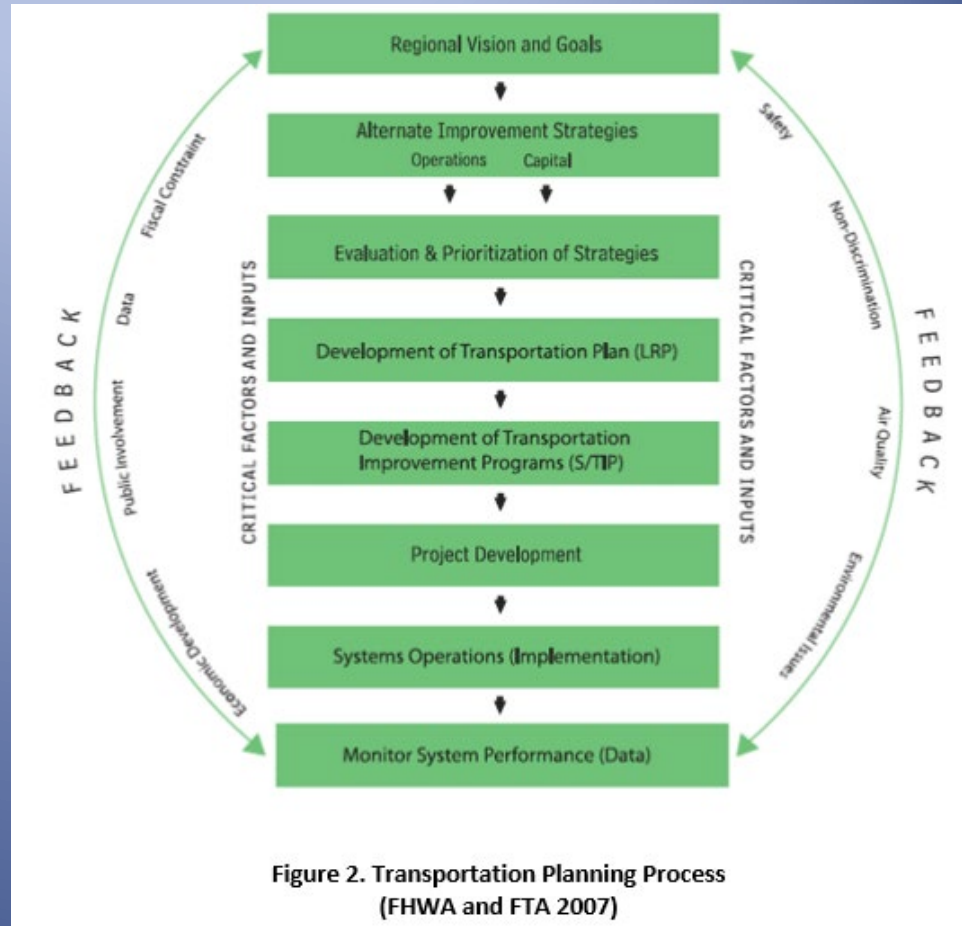


Chapter 1 - Introduction

- What does this study include:
 - Phase One: Practices and concerns in the selection and funding of projects
 - Phase Two: Practices and policies of other states
 - Phase Three: Opportunities
- Better understanding Tribal Transportation

Chapter 2 – Tribal Transportation Planning, Funding, and Decision Making in Arizona

- Stakeholders
 - FHWA, BIA, FTA, FAA, ADOT
- MPD
- Regional Planning and Programming Agencies
- Others



Chapter 3 – Stakeholder Perspectives on Tribal Transportation Planning, Funding, and Decision Making

Table 3. Tribe's Management of the TTP, as Reported in Tribal Interviews (2012-2013)

TTP Implementation Option	Tribe/Community/Nation
Self-determination contract	<ul style="list-style-type: none"> Ak-Chin Indian Community Cocopah Indian Tribe Fort McDowell Yavapai Nation Fort Mojave Indian Tribe Fort Yuma Quechan Tribe Havasupai Tribe Hopi Tribe Hualapai Tribe Kaibab Band of Palute Indians Navajo Nation¹ Pascua Yaqui Tribe San Carlos Apache Tribe Tohono O'odham Nation Tonto Apache Tribe Yavapai-Apache Nation Yavapai-Prescott Indian Tribe
Self-governance agreement	<ul style="list-style-type: none"> Salt River Pima-Maricopa Indian Community
Self-determination contract, Self-governance agreement	<ul style="list-style-type: none"> Colorado River Indian Tribes (Chemehuevi, Hopi, Mojave, and Navajo)
FHWA program agreement	<ul style="list-style-type: none"> Gila River Indian Community Pueblo of Zuni
Direct service from the BIA	<ul style="list-style-type: none"> White Mountain Apache Tribe

¹ADOT tribal liaisons noted that the Navajo Nation also uses FHWA program agreements with the Federal Lands Highway Program.

Table 10. Reasons for Failure of Funding Applications, as Reported in COG/MPO Interviews (2012-2013)

Category	Reason for Failed Funding Application
Funding/project cost	<ul style="list-style-type: none"> Applied for a larger amount than can be awarded Funding not available Project cost did not justify the stated benefit. Unable to provide matching funds
Lack of data/information	<ul style="list-style-type: none"> Did not provide enough information in response to technical questions Lacked documentation and data Unable to conduct preliminary studies needed to justify and/or define new project (such as predesign)
Project-related issues	<ul style="list-style-type: none"> Project not "shovel-ready"
Timing/deadlines	<ul style="list-style-type: none"> Missed the application deadline (applied for a program, but after the deadline had passed) Unable to meet aggressive schedule or time frame requirements of the program
Responsiveness	<ul style="list-style-type: none"> Tribe not responsive to grant requirements Performance using previous awards did not meet the awarding agency's expectations.

Chapter 4- Regional and State Stakeholder Perspectives on Consultation and Coordination Practices

Rating ADOT

Tribe/Community/Nation	Rating (1 = poor/none; 5 = excellent)
<ul style="list-style-type: none"> Hualapai Tribe Pueblo of Zuni (e-mail) 	5
<ul style="list-style-type: none"> Tohono O'odham Nation 	4 to 5
<ul style="list-style-type: none"> Pascua Yaqui Tribe San Carlos Apache Tribe 	4
<ul style="list-style-type: none"> Fort Mojave Indian Tribe Yavapai-Prescott Indian Tribe 	3 to 4
<ul style="list-style-type: none"> Gila River Indian Community 	3.5
<ul style="list-style-type: none"> Ak-Chin Indian Community Cocopah Indian Tribe Fort McDowell Yavapai Nation Salt River Pima-Maricopa Indian Community White Mountain Apache Tribe 	3
<ul style="list-style-type: none"> Colorado River Indian Tribes (Chemehuevi, Hopi, Mohave, and Navajo) 	2 to 2.5
<ul style="list-style-type: none"> Fort Yuma Quechan Tribe Havasupai Tribe Kaibab Band of Paiute Indians Yavapai-Apache Nation 	2
<ul style="list-style-type: none"> Navajo Nation 	1 to 2.5
<ul style="list-style-type: none"> Pueblo of Zuni (in-person) Tonto Apache Tribe 	1
<ul style="list-style-type: none"> Hopi Tribe 	N/A

Rating MPO/COGs

Tribe/Community/Nation	COG/MPO	Rating (1 = poor/none; 5 = excellent)
Tohono O'odham Nation	PAG	5
Fort McDowell Yavapai Nation	MAG	4
Gila River Indian Community	MAG	
Kaibab Band of Paiute Indians	NACOG	
Pascua Yaqui Tribe	PAG	
San Carlos Apache Tribe	CAG	
Ak-Chin Indian Community	CAG	3
Cocopah Indian Tribe	YMPO	
Gila River Indian Community	CAG	
Hualapai Tribe	WACOG	
Salt River Pima-Maricopa Indian Community	MAG	
San Carlos Apache Tribe	SEAGO	
Yavapai-Apache Nation	NACOG	1
Fort Mojave Indian Tribe	WACOG	
Navajo Nation	NACOG	0
White Mountain Apache Tribe	NACOG	No response
Colorado River Indian Tribes (Chemehuevi, Hopi, Mohave, and Navajo)	Not members	N/A
Fort Yuma Quechan Tribe		
Havasupai Tribe		
Hopi Tribe		
Tonto Apache Tribe		
Yavapai-Prescott Indian Tribe		
Pueblo of Zuni ¹		

Chapter 5 – Survey of Tribal Transportation Planning Practices in Other States

- California
 - Minnesota
 - Montana
 - New Mexico
 - South Dakota
 - Washington
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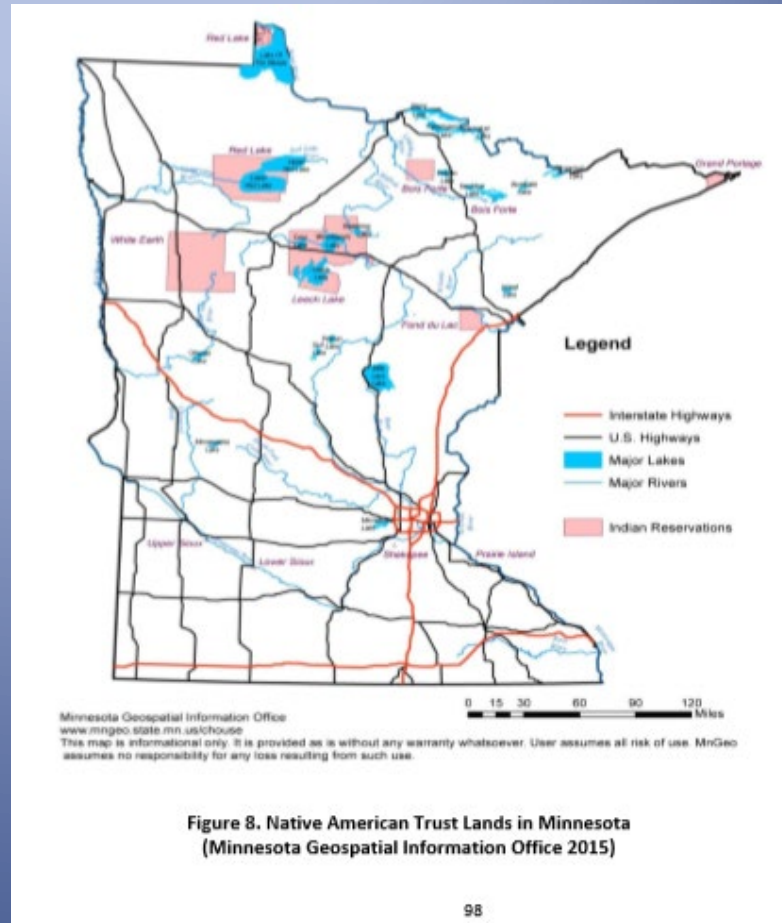
California

- Native American Advisory Committee
- Inter-Tribal Council of California
- Southern California Tribal Chairman's Association (SCTCA) - 19 tribes



Minnesota

- Tribal Liaison reports directly to Commission
- Advocacy Council for Tribal Transportation
- Area Transportation Partnerships
- Minnesota Indian Affairs Council
 - Annual Summit



Montana

- Montana Transportation Commission
 - One member must have tribal Cultural and transportation needs knowledge
 - Selection requires consultation with Rocky Mountain Tribal Leaders Council

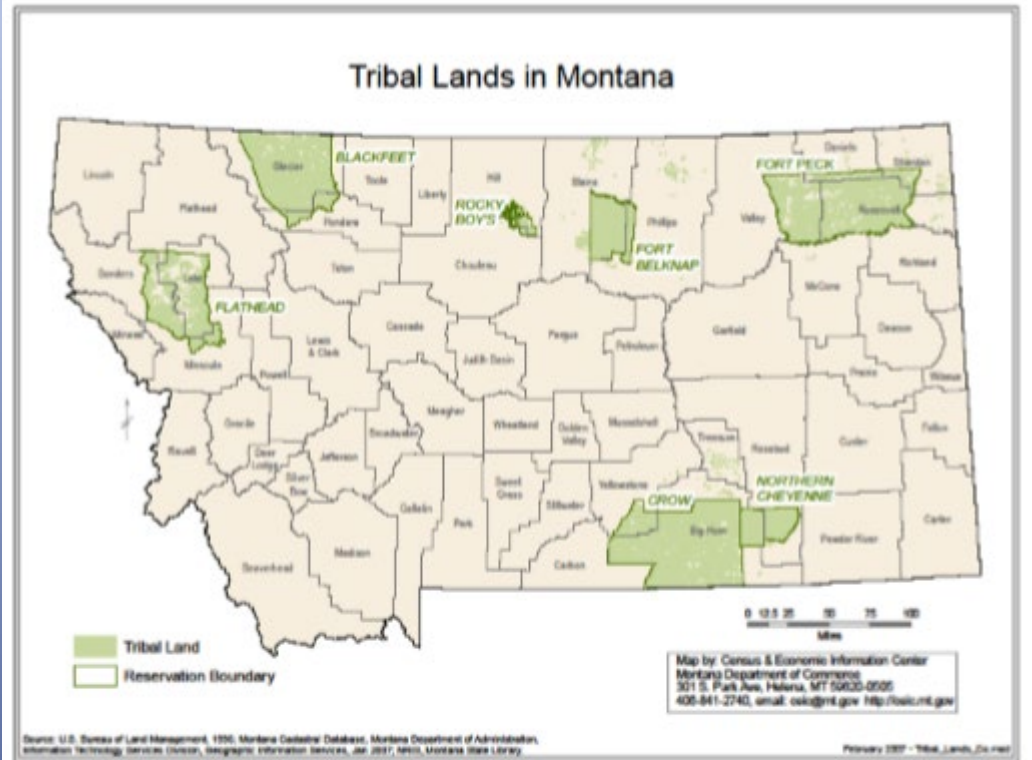
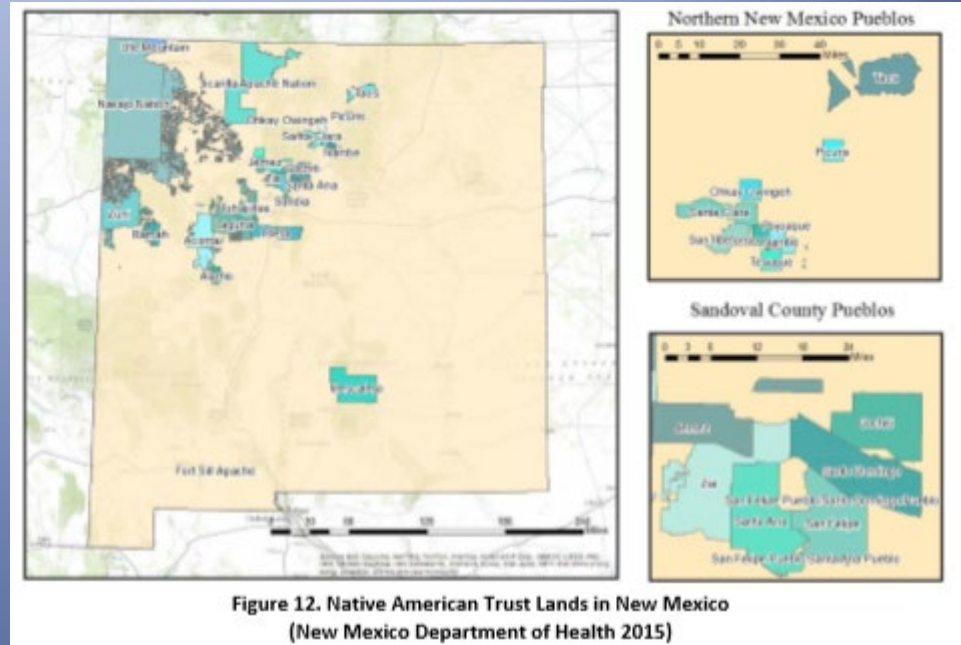


Figure 11. Native American Trust Lands in Montana (Montana Department of Commerce 2017)

New Mexico

Tribal Liaison Program
2009 State-Tribal
Collaboration Act
Annual Summit
Annual Report
Requires State Employee
Training



South Dakota

- Tribal Liaison
 - Civil Rights
 - TERO
- Travel to each of the 9 tribes, Jan – March to consult on STIP



Figure 13. Native American Trust Lands in South Dakota
(South Dakota Department of Tribal Relations 2015)

Washington

- Tribal Transportation Planning Organization
- Washington Indian Transportation Policy Advisory Committee
- Agreements, Tribal Chairpersons signatories along with Governor
- (26 Tribes signed Centennial Accord)



Figure 14. Native American Trust Lands in Washington
(Washington Tribes 2015)

Chapter 6 – Synthesis of Key Practices in Other States

- Foundation - Trust and Respect
- Federal - Designated Manager, workshops, summits, training, RSA, tool kits
- State – Agreements, Consultation policies, Tribal Policy making, summits, department executive engagement, designated Tribal Liaisons, STIP, employee training
- Regional Practices – RTA, Engineer district partnerships

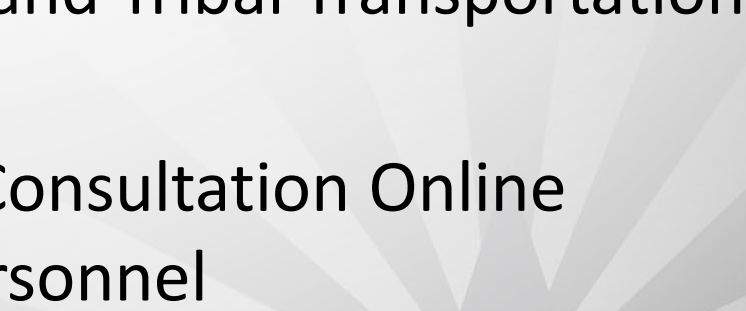
Funding

- Partnerships
- Fuel tax
- Equipment rental
- Tribal dedicated funding – NM Tribal infrastructure fund
- Pooling Tribal Funds
- Lower Local Shares

Next Steps

- Current practices since study
- Evaluate what is and isn't working
- Monitor
- Report
- Establish & institute best practices

Research Related Follow-up

- ADOT Integrating Statewide and Tribal Transportation Planning Workshop
 - ADOT Tribal Transportation Consultation Online Training Course for ADOT Personnel
- 

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OVERVIEW



ARIZONA TRIBAL TRANSPORTATION

The Arizona Department of Transportation (ADOT) is a multimodal transportation agency that is responsible for planning, building and operating the State Highway System and support facilities for the efficient and safe movement of people and products by surface and air.

ADOT efforts to address Arizona tribal transportation is based on the following major factors:

- There are 22 federally recognized Indian Tribes, Communities and Native Nations in Arizona with tribal land encompassing approximately 27,736,000 acres or 28% of the State land base.
- There are seven Tribes located out-of-state with aboriginal and ancestral interests in Arizona.
- The State Highway System includes 6,785 centerline miles of which 1,237 traverse Tribal land.
- There are 14 Tribal airports and five Tribal public transit systems situated within Tribal communities throughout Arizona.
- Both State and Tribal governments have the common goal of providing efficient transportation systems for the safety and welfare of the traveling public.

TRIBAL TRANSPORTATION CONSULTATION IN ARIZONA

ADOT's Tribal Consultation Policy was initially adopted on September 1, 2006 and is reviewed and updated on a regular basis. It takes into consideration a 2006 Arizona Governor's Executive Order and Federal legislative requirements for state departments of transportation to consult with Native

Upcoming Events

30th Annual Arizona Transit Conference

April 9-11, 2017
Phoenix Airport Marriot
Phoenix, Arizona
More information will be posted at: <https://www.azta.org>

Arizona Conference on Roads and Streets

April 12-14, 2017
Westin La Paloma Resort
Tucson, Arizona
For more information: <http://www.acecaz.org/roads.php>

14th Annual Construction in Indian Country National Conference

April 17-19, 2017
The Wigwam Resort
Litchfield Park, Arizona

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TRAINING



Upon completion of a course, please **notify us by email** including your name, agency, contact information and course taken. We would also appreciate comments about the training!

ADOT INTEGRATING STATEWIDE AND TRIBAL TRANSPORTATION PLANNING WORKSHOP CURRICULUM

Since 1997, ADOT has collaborated with tribes in Arizona to complete numerous multimodal planning studies through the ADOT Planning Assistance for Rural Areas Program. To supplement these studies, ADOT developed this interactive training workshop specifically to provide tribal personnel and lead decision-makers with easy-to-understand procedures to implement projects recommended in the planning studies. The workshop is a computer-based, interactive training program that guides users through the transportation improvement project phases of planning, funding, programming, development and maintenance.

The workshop curriculum is presented through a Tribal Transportation Planning Pathway in which users click on interactive elements to open training modules outlining key steps and processes for implementing transportation improvement projects. A comprehensive database of funding sources that the Tribe may pursue to support the construction and on-going maintenance of a transportation system is also included. The database includes information on project eligibility, application due dates, program contacts, and website links for more detail.

Tribal Transportation Planning Workshop Package

Download reference materials for the Integrating Statewide and Tribal Transportation Planning Workshop. Once downloaded, unzip the file and save the folder to your computer. The folder will contain the following items:

[▶ DOWNLOAD](#)

- Tribal Transportation Planning Pathway Package – This is a PDF file containing the interactive training pathway that walks users through the transportation improvement project process. The file can be viewed using Adobe Reader (a free download is available at adobe.acrobat.com).
- Tribal Funding Database – This is an MS Access file containing the database of potential transportation funding sources that is referenced in the Interactive training pathway and can also be used as a standalone resource tool. When saved to your computer you can also modify the file to insert your own program updates or to add new program information.



Integrating Statewide and Tribal Transportation Planning Workshop

Workshop Reference Materials

ADOT

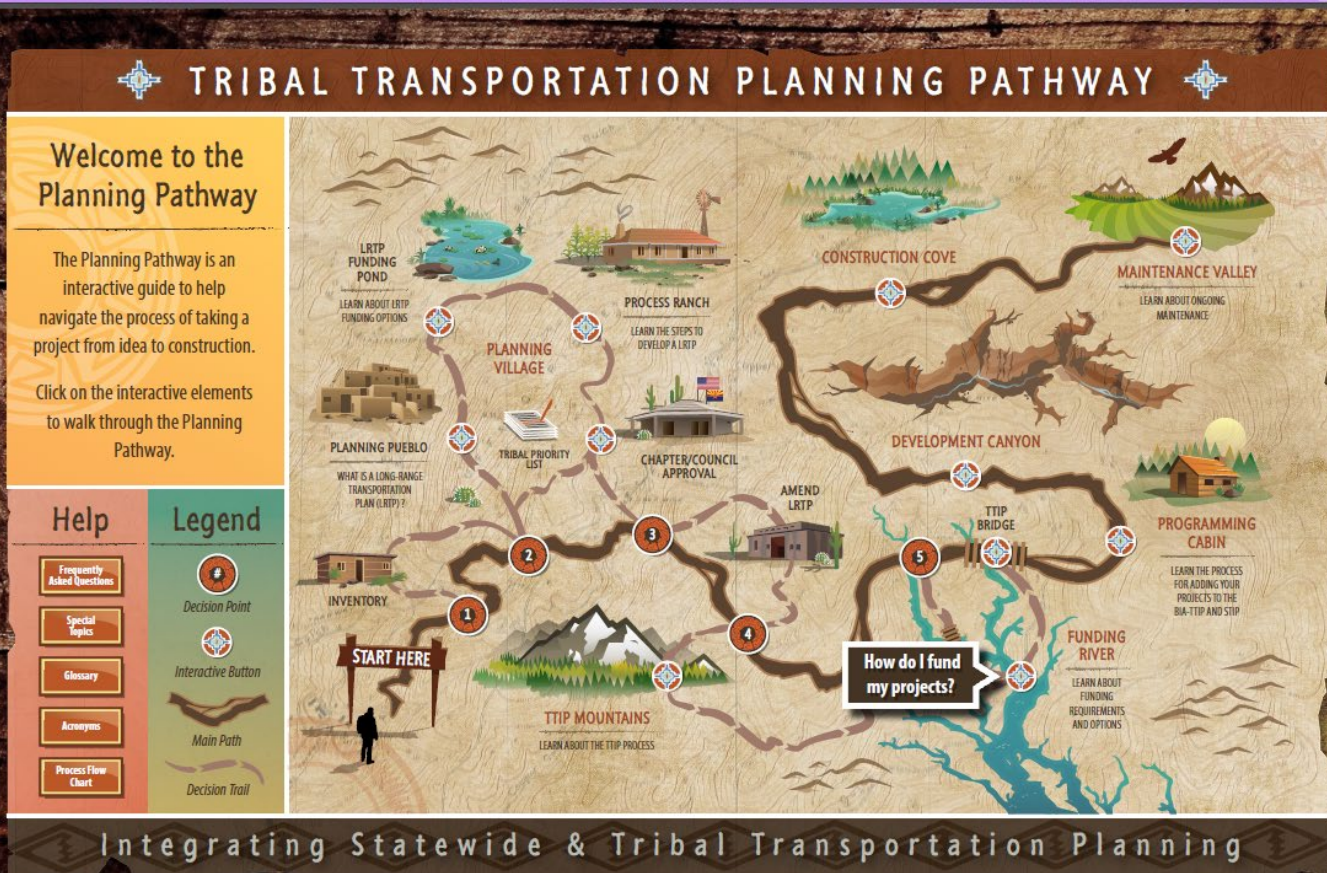
Workshop Objectives

Integrate tribal, BIA Tribal Transportation Program and State transportation planning and programming processes into one understandable overview

Present the fundamentals of developing and implementing a transportation plan

Present the process for how to implement recommended projects from a transportation plan

Develop a resource database of transportation funding programs



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ADOT TRIBAL TRANSPORTATION ONLINE TRAINING COURSE

This online training course and accompanying handbook were developed by ADOT in collaboration with the Inter Tribal Council of Arizona, the ASU American Indian Policy Institute and the ASU Indian Legal Program to guide the department in conducting its tribal consultation efforts. It provides a wealth of historical, legal, and policy information explaining the purpose and background related to federal and state relationships with tribes and their members. It also presents specific approaches and tools that ADOT personnel, consultants and contractors can use to understand processes in carrying out effective consultation and coordination with tribal governments in Arizona.

The Training Course Modules can be viewed using Adobe Presenter and the Handbook is a PDF file that guides the user with detailed information. Modules can be taken in any order of preference. Instructions on how to navigate the course are included at the beginning of each module. Quiz and assessment scores are not tracked by ADOT for this internet version of the online course, however, as noted above we would appreciate receiving notice of your completion of the course modules and any comments you would like to offer on the training.

Training Manual

[➤ VIEW / DOWNLOAD](#)

Module 1 (GEN6090W) - Overview of National and State Tribal Demographics

[➤ START COURSE](#)

Module 2 (GEN6091W) - Understanding Intergovernmental Relations with Native Nations/Tribal Governments - Federal

[➤ START COURSE](#)

Module 3 (GEN6092W) - Understanding Intergovernmental Relations with Native Nations/Tribal Governments - State

[➤ START COURSE](#)

Module 4 (GEN6093W) - Effective Tribal Consultation and Coordination

[➤ START COURSE](#)

Module 5 (GEN6094W) - ADOT Tribal Coordination with Tribal-focused Organizations

[➤ START COURSE](#)

ADDITIONAL RESOURCES

[MWTAP Training](#)[LTAP Training](#)[Native American Sacred Sites Training](#)

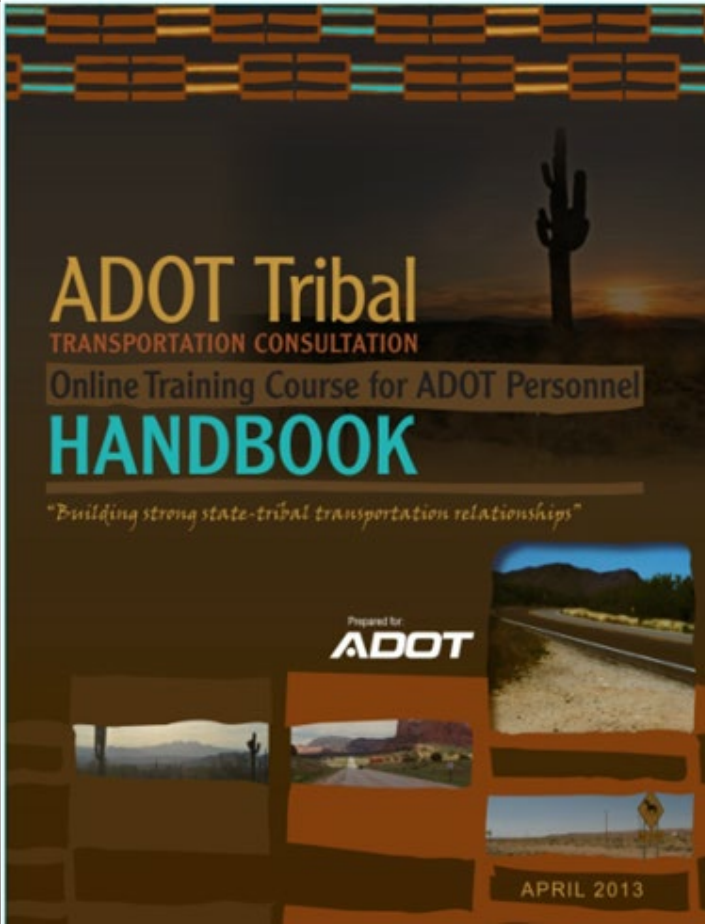
Training Course Objectives

Develop an innovative online training program for ADOT employees

Emphasis on building collaborative relationships with Tribal governments

Equip ADOT personnel with the knowledge and tools to carry out Tribal transportation consultation and coordination

Develop a handbook that can be used as a stand-alone reference



ADOT Tribal Consultation Online Training

ADOT Tribal Consultation Training - Module 1

Outline	Thumb	Notes	Search
Slide Title			Duration
▶ Cover			00:52
▶ Course Introduction: W...			03:04
▶ Course Introduction: W...			01:45
▶ Introduction			00:44
▶ Five modules			02:04
▶ Handbook and Importan...			01:09
▶ Disclaimer			02:33
▶ Course Navigation - L...			02:17
▶ Cover			00:32
▶ Module sections			00:26
▶ Course Introduction: V...			02:17
▶ Introduction to Module...			00:54
▶ Part 1			00:11
▶ Part 1: Becoming Fam...			00:20
▶ Part 1: Becoming Fam...			00:12
▶ Introduction to Tribal...			00:55
▶ Unique Characteristics...			00:23
▶ Population Information...			01:15
▶ Population Information...			00:30
▶ Population Information...			00:23
▶ Population Information...			00:25
▶ Section 106 of the Nat...			00:32
▶ Tribes with Aboriginal...			01:16
▶ Tribes with Aboriginal...			00:26
▶ Part 2			00:12

ADOT Tribal TRANSPORTATION CONSULTATION Online Training Course FOR ADOT PERSONNEL

Course Introduction

(For optimal viewing experience, please change screen settings to 1024 x 768 resolution.)

John S. Halikowski
(ADOT Director)

Paulson Chaco
(Navajo Nation DOT Director)

ADOT

45 Minutes 9 Seconds Remaining

Slide 1 / 46 | Playing

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Training Course Content

Module 1 - Overview of National and State Tribal Demographics

- Overview of each of the 22 Tribes resident in Arizona and 7 Tribes with ancestral history in Arizona. Explains how ADOT works with Tribal governments on transportation activities.

Module 2 - Setting the Foundation for Understanding Intergovernmental Relations with Native Nations/Tribal Governments – Federal Level

- Traces the history of relations between the Federal and Tribal governments.

Module 3 - Setting the Foundation for Understanding Intergovernmental Relations with Native Nations/Tribal Governments – State Level

- Focuses on relationships between Arizona and Tribal governments.

Module 4 - Effective Tribal Consultation and Coordination

- Introduces the elements of effective Tribal consultation and coordination.

Module 5 - ADOT Tribal Coordination with Tribal-focused Organizations

- Provides information about organizations which have a Tribal focus and can be helpful in building successful relationships with Tribal governments.

Contact Information

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Tribal Planning and Coordination

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Don Sneed, Tribal Planning Program Manager/Tribal Liaison

ADOT Multimodal Planning Division

Tribal Planning and Coordination

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