

NTICC 2022
Tribal Transit Program
Technical Assistance Assessments
and
“Hot Topic” – Asset Management
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Agenda

- Overview on FTA Tribal Transit Program (TTP)
- Other FTA Funding Opportunities
- TTP Technical Assistance Assessments
- Hot Topic: Asset Management
- Q&A



Tribal Transit Program Funding

➤ The Bipartisan Infrastructure Law (FY 2022-2026)

- Continued and increased the Tribal Transit Program by nearly 83%
- Supports 134 American Indian and Alaska Native Tribes with transit service

Fiscal Year	2022 (in millions)	2023 (in millions)	2024 (in millions)	2025 (in millions)	2026 (in millions)
Public Transportation on Indian Reservations (Formula)	\$35	\$36	\$37	\$37	\$38
Public Transportation on Indian Reservations (Competitive)	\$9	\$9	\$9	\$9	\$10



TTP Formula Program

Eligible Projects

- Any purpose eligible under Section 5311
- Operating, Capital and Planning

Eligible Federal Share

- 100% (no match required for formula funds)

Funding Availability

- Funds are available the year appropriated plus two years (total of three years)



TTP Formula Apportionment

Statutory Formula

- **Tier 1:** 50% based on vehicle revenue miles
- **Tier 2:** 25% based on Indian tribes providing at least 200,000 vehicle revenue miles (VRMs)
- **Tier 3:** 25% based on Indian tribes providing public transportation on tribal lands as identified by the Census where more than 1,000 low-income individuals reside



How is Formula Calculated?

Tier 1: \$15,000,000 (50%) distributed proportionately by Vehicle Revenue Miles (VRMs); does not include “deadhead” or charter miles.

Example:

- In FY 2021 total Tribal VRMs was 20,000,000 miles
- \$15,000,000 divided by 20,000,000 = \$0.75/VRM



How is Formula Calculated?

Tier 2: \$7,500,000 (25%) distributed equally among Tribes that operated at least 200,000 in VRMs

Example:

- In FY 2021, a total of 39 Tribes operated at least 200,000 VRMs - \$7,500,000 divided by 39 = \$192,308 each



How is Formula Calculated?

Tier 3: \$7,500,000 (25%) distributed proportionately among Tribes that operated in areas where more than 1,000 low-income individuals reside, as defined by the US Census

Example:

- In FY 2021, over 50 Tribes (41%) met this criteria. The maximum allowed is \$300,000 per Tribe. Most Tribes received between \$50,000 and \$150,000



How is Formula Calculated?

Total Formula for 147,059 VRM:

- **Tier 1:** $147,059 \text{ VRM} \times \$0.75 = \$110,294$
- **Tier 2:** did not operate at least 200,000 VRMs = \$0
- **Tier 3:** Maximum low income = \$300,000

Total TTP Formula = \$410,294



FY 2022 TTP Competitive Program

- On Feb 16, 2022, FTA announced **\$8.75 million** authorized for discretionary program
- Eligible Applicants
 - Federally recognized Tribes in rural areas with a population of less than 50,000
- Period of Availability
 - Funds are available for obligation the year project selections are announced plus two years (total of three years)
 - Award announcement *pending*

Competitive Program awards:

<https://www.transit.dot.gov/tribal-transit>



FY2022 Eligible Projects

Eligible Projects	Eligible Federal Share	Funding Availability
<ul style="list-style-type: none"> • Planning <ul style="list-style-type: none"> ✓ \$25,000 cap 	100%	3 years (Year of Allocation + 2 FY)
<ul style="list-style-type: none"> • Capital <ul style="list-style-type: none"> ✓ New Services ✓ Replacement (bus, equip, facility) ✓ Expansion (bus, equip, facility) 	100%	
<ul style="list-style-type: none"> • Operating <ul style="list-style-type: none"> ✓ All eligible recipients 	100%	

FY2022 TTP Competitive Program

- Notice of Funding Opportunity (NOFO):
<https://www.transit.dot.gov/notices-funding/public-transportation-indian-reservations-program-fy-2022-notice-funding>
- Proposals were due on *May 25, 2022*
- Must be registered and apply on GRANTS.GOV



Other FTA Funding Opportunities

- [Rural Areas Formula Program, 49 U.S.C. § 5311](#)
 - Formula funding to the States to support public transportation in rural areas by providing planning, operating and capital assistance
- [Enhanced Mobility of Seniors and Individuals with Disabilities Formula Program, 49 U.S.C. § 5310](#)
 - Formula funding to the States for assisting rural transit providers for meeting the needs of older adults and persons with disabilities
- [Bus and Bus Facilities Competitive Program, 49 U.S.C. § 5339\(b\)](#)
- [Bus and Bus Facilities LoNo Program, 49 U.S.C. § 5339\(c\)](#)
- Other funding: <https://www.transit.dot.gov/funding/tribal-entities#anchor2>



Other FTA Funding Opportunities

- Coronavirus Aid, Relief, and Economic Security (CARES) Act - \$30M <https://www.transit.dot.gov/cares-act-apportionments>
 - Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act of 2021 - \$30M
<https://www.transit.dot.gov/funding/grants/fiscal-year-2021-crrsaa-act-supplemental-public-transportation-apportionments-and>
 - American Rescue Plan (ARP) Act - \$5M
<https://www.transit.dot.gov/funding/apportionments/fiscal-year-2021-american-rescue-plan-act-supplemental-public-transportation>
- ✓ No lapse date; 100% funding, for operating assistance after January 20, 2020; except ARP - *must be obligated by September 30, 2024, and disbursed by September 30, 2029*



FTA Circular Resources

<https://www.transit.dot.gov/regulations-and-guidance/fta-circulars/final-circulars>

FTA C. 9040.1G: Rural Grants Program (5311), Chapter X

FTA C. 5010.1E: Award Management

FTA C. 9070.1G: Mobility for Seniors/Disabled (5310)

FTA C. 4220.1F: Third-Party Contracting

FTA C. 4710.1: ADA Guidance

FTA C. 4702.1B: Title VI Requirements and Guidelines



Tribal Transit Program Technical Assistance Assessments



TTP Technical Assistance Assessments

- Designed to provide technical assistance to Tribes who are direct recipients of TTP funding
- Identify areas in need of technical assistance and assist Tribes to put solutions in place to address these needs
- Consider Assessment a “one on one” technical assistance workshop



TTP Technical Assistance Assessments

- 10 Tribes participated in the FY2011 pilot program;
- 61 Tribes have received assessments from FY2015 – FY2019
- Approximately 15-20 Tribes receive TA Assessments each year;
- In FY2020, 16 Tribes selected; 11 elected virtual Assessments
- Expanded the Assessment Program in FY 2022/2023
 - ✓ 53 Tribes selected for Assessments across eight Regions
- Tribes are identified by FTA Regional Tribal Liaisons

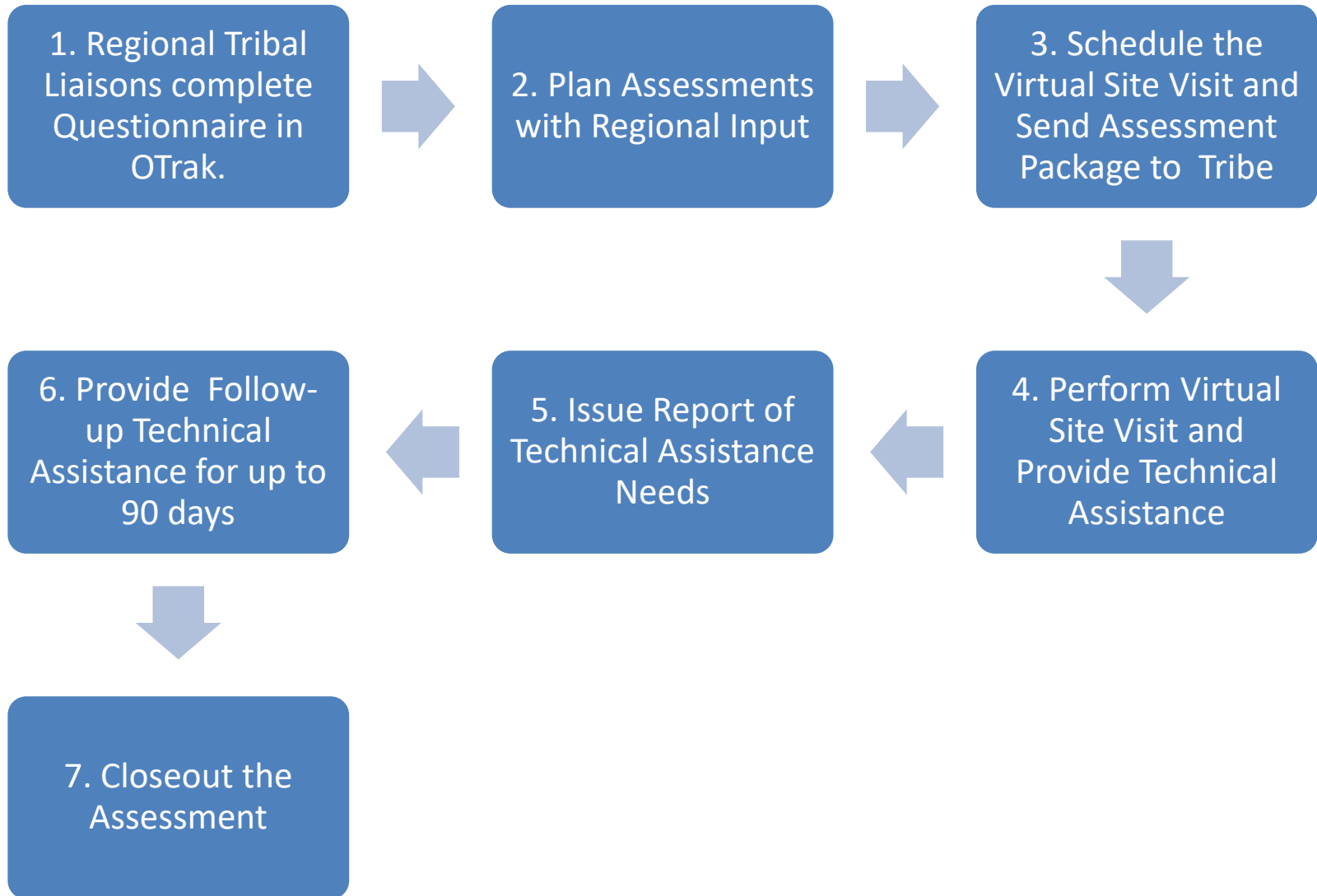


Areas Covered During TTP Assessments

1. Legal, Technical Capacity and Award Management
2. Financial Management and NTD
3. Asset Management
4. Procurement and Buy America
5. Charter Bus
6. Americans with Disabilities Act (ADA)
7. Drug-Free Workplace Act and Drug and Alcohol Testing Program



TTP Assessment Process



TTP Technical Assistance Assessments

Additional Technical Assistance available through:

- FTA Regional Tribal Liaisons
- National Rural Technical Assistance Program
- State DOTs



Hot Topic: Asset Management



Hot Topic: Asset Management

- Satisfactory Continuing Control
- Maintenance
- Transit Asset Management



Satisfactory Continuing Control and Maintenance

What: Ensure tribes are maintaining control over FTA-funded facilities, buses and equipment and that all assets are maintained in good operating order

Who: Transit Director, Maintenance Supervisor, Mechanics



Satisfactory Continuing Control

Questions to be Discussed

Q1. Does the TTP recipient keep records of FTA funded equipment?

Q2. Did the TTP recipient dispose of or remove from service any FTA-funded equipment in the past three years?

Q3. Does the TTP recipient have an FTA-funded facility? If so, is there a current or planned joint or shared use? Incidental use?



Satisfactory Continuing Control

How to Prepare:

- Have an inventory of all FTA-funded equipment and facilities, which includes:
 - VIN or ID #, acquisition date, purchase price, award #, federal share, location, condition, disposition, useful life, and vested title
- Confirm if FTA approved any mixed use or incidental use of FTA facility
- Confirm FTA approved any vehicle lease



Satisfactory Continuing Control

How to Prepare:

- Conduct physical inventories at least biennially and reconcile inventory records accordingly
 - * use asset inventory record to identify, locate, and update status, condition, etc
- Manager/Supervisor sign-off
- Keep records!



Satisfactory Continuing Control

How to Prepare:

- Follow FTA useful life standards for vehicles:

30' heavy duty transit bus	10 years or 350,000 miles
30' medium-duty transit bus	7 years or 200,000 miles
25'-35' light duty transit bus	5 years or 150,000 miles
Other vehicles (e.g., small buses, vans, sedans)	4 years or 100,000 miles

- Develop a list of FTA-funded assets disposed of in past three years. Did any items have remaining useful life? Or value > \$5,000?



Satisfactory Continuing Control

Managing DISPOSITION of FTA funded equipment

- Notify FTA if removed from service early
- Maintain the following information:
 1. Useful life remaining
 2. FTA's funding share
 3. Value at disposition (>\$5,000 special rules*)
 4. How proceeds utilized (new requirements beginning October 2021)

**FTA's proportionate share returned to transit program*

Maintenance

Questions to be Discussed

Q4. Does the TTP recipient have a written maintenance plans for FTA-funded assets (including vehicles, facilities, and equipment)?

Q5. Does the TTP recipient follow its program for preventive maintenance inspections for FTA-funded assets?

Q6. Does the TTP recipient's vehicle maintenance program address maintenance procedures for wheelchair lifts and other accessibility features?



Maintenance

How to Prepare:

- Ensure you have written maintenance plans for FTA-funded assets, including vehicles, facilities, and equipment
- Ensure maintenance plans address ADA accessibility features, such as wheelchair lifts and ramps



Maintenance

How to Prepare:

- Check PM records for equipment and facilities:
 - Are PMs occurring at the intervals identified in the schedule (typically manufacture's recommended intervals) at least 80% of the time?
- Track and follow-up on warranty claims
- Track insurance proceeds for damaged or destroyed equipment (proceeds offset cost of repair or replacement)



Preventative Maintenance Exercise

Which of these PMs are not within 10% of the recommended PM interval?

Maintenance Review		Required		Acceptable range	
Vehicle Oil Change		change:		1.1	0.9
Vehicle #:	1	4000	4400	4400	3600

Changes according to records:

Date	Mileage	Ttl. Miles btwn chge.	Req. to Actual	Is % >110%, or <90%	
1	3/21/2018	48135	-4803	-1.20075	yes
2	5/17/2018	52938	-3800	-0.95	no
3	7/16/2018	56738	-5298	-1.3245	yes
4	9/6/2018	62036	-2300	-0.575	yes
5	11/28/2018	64336	-4000	-1	no
6	12/6/2018	68336	-4263	-1.06575	no
7	2/23/2019	72599	-3912	-0.978	no
8	4/1/2019	76511	-4657	-1.16425	yes
9	5/11/2019	81168			

Transit Asset Management

Purpose: Ensure tribes develop and implement transit asset management plans.

Who: Tribal Transit Director, Fleet/Maintenance Manager



Transit Asset Management

Questions to be Discussed

Q1. Has the recipient developed a stand-alone TAM plan or participated in a group TAM Plan?



Transit Asset Management

How to prepare:

Develop a TAM Plan or request inclusion in State sponsored Group Plan.

All Tribes are considered ***Tier II*** providers for the purposes of the TAM Plan

Tribes may request to be a part of a State DOT Group Plan even if they are not subrecipients of the State DOT.

Note: Tier I providers - 101 or more vehicles in peak regular service or rail transit.



Transit Asset Management

Question to be Discussed

Q2. Did the recipient develop the appropriate Tier Plan and does the Plan have the required elements?



Transit Asset Management

Confirm your Tier II TAM Plan has all four (4) required elements:

- (1) Inventory of capital assets
 - (2) Condition assessment
 - (3) Decision support tools
 - (4) Investment prioritization
- Template: www.transit.dot.gov/TAM



Transit Asset Management

Question to be Discussed

Q3. Does the TAM Plan identify an accountable executive?



Transit Asset Management

➤ Make sure you have identified an Accountable Executive* in your TAM Plan or State sponsored Plan

****Defined:** An Accountable Executive is a single, identifiable person who has ultimate responsibility for carrying out the safety management system of a public transportation agency; responsibility for carrying out transit asset management practices; and control or direction over the human and capital resources needed to develop and maintain both the agency's public transportation agency safety plan, in accordance with 49 U.S.C. 5329(d), and the agency's transit asset management plan in accordance with 49 U.S.C. 5326.*



Transit Asset Management

Question to be Discussed

Q4. Have group plan participants fulfilled their obligations in the development and implementation of the group TAM plan?

- *Must submit required information to the group TAM plan sponsor.*



Transit Asset Management

Question to be Discussed

Q5. Has the recipient set performance targets?



TAM Performance Targets

- Must set one or more performance targets to define state of good repair goals for each asset category:

Equipment, rolling stock, infrastructure and facilities

- May adopt FTA default useful life benchmarks or customize your own based on analysis of data

Group plan sponsor responsible for performance measures and targets for all assets in a group plan.



Transit Asset Management

Question to be Discuss

Q6. Does the recipient share its TAM plan with the state or Metropolitan Planning Organization (MPO) that provides funding?

- *Must make TAM plan available to the State or MPO that provides funding to aid in planning process.*



Discussion/ Questions?





[TRANSIT.DOT.GOV](https://www.transit.dot.gov)



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